

## AGENDA

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**Meeting:** Schools Forum  
**Place:** North Wiltshire Room - Wiltshire Council Offices, County Hall, Trowbridge  
**Date:** Thursday 11 October 2018  
**Time:** 1.30 pm

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Please direct any enquiries on this Agenda to Lisa Pullin, tel 01225 713015 or email [committee@wiltshire.gov.uk](mailto:committee@wiltshire.gov.uk) of Democratic Services, County Hall, Bythesea Road, Trowbridge, direct line or email

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<b>Membership:</b>	<b>Representing:</b>
Neil Baker	PHF - Primary Headteacher Representative
Aileen Bates	WGA, SEN Governor Representative
Andy Bridewell	PHF - Primary Headteacher Representative
Mark Cawley	Early Years Representative
Michelle Chilcott	Secondary Academy Representative
Phil Cook	WASSH - SEN Headteacher Representative
Tracy Cornelius	PHF - Primary Headteacher Representative
Nicola Coupe	Salisbury Diocesan Board of Education Representative
Jon Hamp	Special School Academy Representative
John Hawkins	Teaching Association Representative
Mel Jacob	WGA - Primary Governor Representative
Sue Jiggins	WGA - Primary School Governor Representative
Jen Jones	Wiltshire College (Head of Learning & Skills Development Service)
Lisa Percy	WASSH - Secondary Academy Representative
John Proctor	Early Years Representative (PVI)
Nigel Roper	WASSH - Secondary School Headteacher Representative
Neil Spurdell	Chair of WASSH - Secondary Academy Representative
Trudy Srawley	Observer - Wiltshire Parent Carer Council
Lindsay West	PHF - Primary Academy Representative
David Whewell	WGA - Secondary School Governor representative
Catriona Williamson	PHF - Primary Headteacher Representative

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Please see the agenda list on following pages for details of deadlines for submission of questions and statements for this meeting.

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## **PART I**

Items to be considered whilst the meeting is open to the public

1      **Election of Chair**

To elect a Chair of Schools Forum for 2018/19.

2      **Election of a Vice Chair**

To elect a Vice Chair of Schools Forum for 2018/19.

3      **Apologies and Changes of Membership**

To note any apologies and changes to the membership of the Forum.

4      **Minutes of the Previous Meeting (*Pages 5 - 38*)**

To approve as a correct record and sign the minutes of the meeting held on 28 June 2018 (copy attached).

5      **Chairman's Announcements**

To receive any announcements from the Chair.

6      **Declaration of Interests**

To note any declarations of interests.

7      **Update from the Families and Children's Transformation (FACT) Programme Board (*Pages 39 - 44*)**

Attached is an update on the progress of the Families and Children's Transformation (FACT) Programme which has been prepared by Tamsin Stone (Lead Commissioner).

8      **Reports from Working Groups (*Pages 45 - 48*)**

To receive minutes, reports and/or verbal updates from the following working groups:

- Joint meeting of the School Funding Working Group and SEN Working Group held on 26 September 2018.

9 **Schools Revenue Surplus and Deficit Balances 2017/18** *(Pages 49 – 64)*

The report of Jane Ralph (School Strategic Financial Management Adviser) presents the position of revenue balances for Wiltshire maintained schools as at 31 March 2018 and identifies those that are in deficit.

10 **Dedicated Schools Budget - Budget Monitoring 2018-19** *(Pages 65 - 68)*

The report of Marie Taylor (Interim Head of Finance) seeks to present budget monitoring information against the Dedicated Schools Grant (DSG) for the financial year 2018-19 as at 31 August 2018.

11 **Update on Dedicated Schools Grant/School Funding Guidance** *(Pages 69 - 74)*

The report of Grant Davis (Schools Strategic Financial Support Manager) seeks to provide members of Schools Forum with an overview of the key headlines from 'Schools Revenue Funding 2019 2020 – Operational Guide (July 2018).

12 **Update on High Needs Funding Guidance** *(Pages 75 - 76)*

The report of Grant Davis (Schools Strategic Financial Support Manager) seeks to provide members of Schools Forum with an overview of the key headlines from the recently published "National Funding Formulae for Schools and High Needs 2019 to 2020 (July 2018).

13 **Confirmation of Dates for Future Meetings**

To confirm the dates of future meetings, as follows, all to start at 1.30pm:

6 December 2018  
17 January 2019  
28 March 2019  
13 June 2019.

14 **Urgent Items**

To consider any other items of business, which the Chairman agrees to consider as a matter of urgency.

**PART II**

Item(s) during consideration of which it is recommended that the public should be excluded because of the likelihood that exempt information would be disclosed

## **SCHOOLS FORUM**

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### **MINUTES OF THE SCHOOLS FORUM MEETING HELD ON 28 JUNE 2018 AT COUNCIL CHAMBER - COUNTY HALL, TROWBRIDGE BA14 8JN.**

#### **Present:**

Lisa Percy (Vice-Chair), Neil Baker (Chairman), Aileen Bates, Tracy Cornelius, Jon Hamp, John Hawkins, Sue Jiggins, John Proctor, Nigel Roper, Catriona Williamson, Phil Cook, Victoria Allison and Mark Cawley

#### **Also Present:**

Grant Davis (Strategic Financial Support Manager), Lisa Pullin (Democratic Services Officer), Tamsin Stone (Lead Commissioner), Alan Stubbersfield (Interim Director – Education and Skills), Marie Taylor (Head of Finance – Care), Councillor Phil Whalley (Observer - Portfolio Holder for Education and Skills) and Judith Westcott (Acting Head Commissioner)

#### **24 Apologies and Changes of Membership**

Apologies were received from Andy Bridewell (PHF Vice Chair), Nicola Coupe (Salisbury Diocesan Board of Education), Jen Jones (Observer from Wiltshire College) and from Terence Herbert (Corporate Director – Wiltshire Council).

Nicola Coupe (School Improvement Advisor) is the new representative from the Salisbury Diocesan Board of Education, although was unable to be present at the meeting.

Victoria Allison from Wiltshire College attended in place of Jen Jones.

#### **25 Minutes of the Previous Meeting**

The minutes of the previous meeting held on 22 March 2018 were approved as a correct record.

#### **Resolved:**

**That the Chairman sign the minutes of the meeting held on 22 March 2018.**

#### **26 Chairman's Announcements**

The Chairman welcomed Marie Taylor (Interim Head of Finance) to the Forum and asked all others present to introduce themselves.

The Chairman suggested that Agenda item 7 (Update from Families and Children's Transformation (FACT) Programme Board be brought forward to be the first item for consideration and this was agreed.

The Chairman asked Grant Davis (Schools Strategic Financial Support Manager) to provide a verbal update on the National Funding Formula.

Grant reported that he had attended a meeting in Sheffield regarding the National Funding Formula recently and that the DfE announced that there was to be an increase in the 'minimum per pupil funding' rates of £200 in both primary and secondary to £3,500 and £4,800 respectively. Other tweaks to the NFF were discussed including the continuation of the 'soft' formula in 2019-20 and the Schools Financial Value Standard (SFVS). The SFVS process is subject to a DfE review and although they would remain for the 2018/19 year, they would be reviewed in 2019/20 and would be introduced under a different guise.

We would receive guidance on NFF in July and confirmation of our NFF funding in September/October. A further update would be presented at the next Schools Forum meeting.

27 **Declaration of Interests**

There were no declarations of interest.

28 **Wiltshire Schools Forum Membership and Terms of Reference**

Lisa Pullin (Clerk to the Panel) referred to the Proportionality, Membership and Terms of Reference report that had been circulated with the Agenda. As part of best practice, a proportionality of membership review had been carried and as there had been limited changes to the numbers of Wiltshire schools which had converted to academies, it was not proposed that there were any changes made to the numbers of membership of the Schools Forum.

The Terms of Reference that were last approved in October 2015 had been reviewed by Grant Davis and he was suggesting a change to paragraph 2.2 of the Terms of Reference. This change would clarify who had observer status to the Forum. Any changes to the TOR's would need to be presented to the Cabinet Member for Education & Skills through the delegated decision process.

The Forum were also being asked to confirm the current membership and note that there were currently vacancies for the following:

- A primary academy representative
- A secondary Governor representative
- A primary Governor representative.

It was agreed that we should request representatives to fill these vacancies from the Primary Heads Forum and Wiltshire Governors Association.

The Forum noted that a request had been received from Lucy Townsend (Director – Families and Children’s Services) for Nick Breakwell (Head of Service – SEND) to attend future meetings of the Forum. Discussion then followed on other bodies that could be represented at the Schools Forum meetings and it was agreed to seek representatives from the following (who would have Observer status)

- Wiltshire Parent Carer Council
- Wiltshire Children and Families Voluntary Sector Forum
- Local Youth Network

**Resolved:**

**That Wiltshire Schools Forum:**

- a) **Notes that the proportionality of the Forum has been reviewed and that no changes are recommended.**
- b) **Agree to seek representatives from the following (who will have Observer status)**

- i) **Wiltshire Parent Carer Council**
- ii) **Wiltshire Children and Families Voluntary Sector Forum**
- iii) **Local Youth Network**

- c) **Endorse the following changes to paragraph 2.2 to the Terms of Reference by removing the following**

~~In addition to voting members there are 5 observers, 1 each from the 13-19 Strategic Partnership and ASK, 2 elected governor representatives from the Council’s Children Select Committee are also invited as observers ex officio and the Education Funding Agency has observer status.~~

**And replacing it with the following wording:**

**In addition to voting members, the following representatives will have observer status:**

- i) **Education & Skills Funding Agency**
- ii) **Post-16 representative**
- iii) **Wiltshire Parent Carer Council**
- iv) **Wiltshire Children and Families Voluntary Sector Forum**
- v) **Local Youth Network**

**Then request that that these changes to the Terms of Reference be presented to the Cabinet Member for Education & Skills for approval through the delegated decision process.**

- d) **Notes the current Membership details of the Forum.**

- e) **Recommends that representatives to the vacancies detailed in the report be requested to be appointed by the Primary Heads Forum (PHF), and Wiltshire Association for Secondary and Special Heads (WASSH) and Wiltshire Governors Association (WGA) as soon as possible.**

29 **Reports from Working Groups**

The meeting received and noted the minutes from the following working groups:

- Early Years Reference Group; and
- School Funding Working Group and SEN Working Group (joint meeting).

**Resolved:**

**That Wiltshire Schools Forum note the minutes of the Early Years Reference Group meeting held on 4 May 2018 and the joint meeting of the Schools Funding Working Group and SEN Working Group meeting held on 11 June 2018.**

30 **Update from the Families and Children's Transformation (FACT) Programme Board**

Tamsin Stone (Lead Commissioner) was present to provide an update on the Families and Children's Transformation (FACT) Programme Board. This was in place of the usual Children and Young People's Trust Board update as this Board had now ceased. The presentation is attached as **Appendix 1** to the Minutes. Tamsin highlighted the following:

- That FACT is a partnership of agencies working together to achieve whole-system change – in order to significantly improve the chances of all our children living safely, healthily and happily in their own families and communities. It was identified that the agencies need to work together differently due to pressures on public funding, the need to eradicate gap and duplication and to improve outcomes for vulnerable children;
- There were five driving principles for FACT and the programme would follow the principles of system leadership and will focus on the outcomes; and
- There were 8 partnership workstreams with over 30 projects making up those streams. Each project had a lead that would report to the 8 workstream leads who would report into the monthly FACT Board meetings. The FACT Board then reports into the Health and Wellbeing Board.

Some highlighted examples of planned activities were given as:



- Co-producing a shared Partnership Strategy with children, families and partners;
- Investing in Systems Leadership;
- Developing an Early Support Hub and local pathways – to work seamlessly with the exiting Multi-Agency Safeguarding Hub (MASH);
- Exploring IT- enabled partnership working via a new Case Management System
- A review of SEN support for children with Social, Emotional and Mental Health difficulties and a review of the Lead Worker function.

Tamsin asked how best to ensure Schools engage with the programme – to shape and define the project priorities and participate in the development work. The Forum suggested that the FACT Team liaise with the Primary Heads Forum (PHF), Wiltshire Association of Secondary School Heads (WASSH) and the Wiltshire Governors Association (WGA) and attend their meetings when appropriate.

The Chairman thanked Tamsin for her attendance and requested that further updates be provided as required.

**Resolved:**

**That Wiltshire Schools Forum receive an update from the FACT Board at their next meeting on 11 October 2018.**

**Appendix 1 to the Minutes - FACT Presentation**

31 **Revenue Budget Outturn 2017-18 - Dedicated Schools Budget**

Marie Taylor (Interim Head of Finance) referred to the Revenue Budget Outturn Report for 2017/18 which had been circulated with the Agenda. Marie highlighted the following:

- The DSG was underspent by £0.509m which was an improvement of £1.381 million compared to the forecast at the end of January 2018. Any under or overspend against the DSG is carried forward to the following financial year and the underspend will be transferred to the earmarked DSG Reserve.;
- The main variances were overspend on SEN of £1.722m and underspend on Early Years of £0.617m; and
- Wiltshire, whilst in a difficult position, were in a better position than some other local authorities.

**Resolved:**

**That Wiltshire Schools Forum note the outturn position for the Dedicated Schools Budget in 2017/18.**

## 32 **Funding Factors - Trade Union Facilities Time**

Grant Davis (Schools Strategic Financial Support Manager) referred to the report on Funding Factors – Trade Union Facilities Time that was circulated with the Agenda. Grant highlighted the following:

- This was last discussed at the Forum meeting on 5 October 2017, when it was agreed that the Trade Union Facilities Time budget be increased from £50,000 to £60,000 in line with the commitments against the budget;
- The teaching unions have requested that it is brought to the attention of the School Funding Working Group that the budget may be insufficient to cover the draw against it for 2018/19 and that a further increase may be necessary, in light of requests also coming through from Support Staff Unions; and
- There is a projected overspend and the budget for the facility fund for 2019/20 would need to be reviewed in the Autumn when agreeing the 2019/20 budgets and consideration will need to be given to any parameters to attach to this budget.

**Resolved:**

**That Wiltshire Schools Forum**

- 1. Note the potential overspend against the 2018/19 budget for the Facilities Fund Budget.**
- 2. Review the budget for the Facilities Fund Budget for 2019/20 in the Autumn when agreeing the 2019/20 school budgets, along with any parameters around apportionment of the budget.**

## 33 **Guidance on Provision for pupils with SEN in Secondary schools**

Judith Westcott (Lead Commissioner, SEN) referred to the proposed revised guidance on provision for pupils with SEN in secondary schools and report that had been circulated with the Agenda.

Judith explained that following a request from Schools Forum, they were tasked to look at the guidance on SEND provision in Secondary Schools. This guidance has now been developed and has incorporated the 2014 Children and Families Act guidance for Enhanced Learning Provision (ELP) to ensure that ELP was fulfilling its function as the follow on provision to resource bases in primary schools.

A working group of SENCO's from secondary settings was set up and they drafted a new set of guidance which sought to address these issues. This was sent out to all Secondary Schools asking them to review the draft guidance. Three workshops were set up and Business Managers and secondary

SENCOS were invited to comment on and review the draft. Following this, minor changes were made to the guidance and it was resent to all schools.

Schools Forum were being asked to approve the proposed guidance for SEND Provision in Secondary Schools.

The Chairman referred to Appendix 1 which set out the number of places agreed with each of the secondary schools for the 2018/19 year and asked if SENCO's were surprised with the numbers of ELP places at some schools. Judith confirmed that they were surprised to see the lack of parity across all the secondary schools.

A Forum member asked if the guidance had gone through to WASSH for consideration. Judith confirmed that it would do, although individual schools would have seen it through the consultation period.

The Chairman wished to express the Forum's thanks to the SEND Teams and SENCO's and to Judith for carrying out this large piece of work so well.

**Resolved:**

**That Wiltshire Schools Forum approve the proposed Guidance for SEND Provision in Secondary Schools.**

34 **Special School Update**

Alan Stubbersfield (Interim Director – Education & Skills) provided a verbal update on the consultation on options for the future of Special Schools in the north of the county to increase the capacity by 150 places by 2026. Alan urged all schools to respond to the consultation which is running from 13 June to 31 July 2018 (link below). Some responses had already been received, mostly from those in the north of the county.

[http://wiltshire.objective.co.uk/portal/education/special\\_school\\_provision\\_in\\_wiltshire](http://wiltshire.objective.co.uk/portal/education/special_school_provision_in_wiltshire)

Following the consultation, the responses would be pulled together to identify options for the direction of travel and this would be presented to Cabinet in September 2018. A single way forward would then be subject to a second round of consultation with conclusions expected to go to the January cabinet. The proposed way forward would then be sent to the Secretary of State and it would be 2019 before a final conclusion is reached.

An implementation process with a 3-year timescale would then follow. This was an ambitious high-profile project for the Council and they are prepared to invest many millions of pounds into it to make sure that it provides the best solution for Wiltshire's young people.

**Resolved:**

**That the Special School update be noted.**

35 **Request from Exeter House Special School**

Grant Davis reported that a request had been received from Exeter House Special School for additional funding as they had expanded their provision and were also running the school from the former John Ivie Centre in Salisbury. This had been led by the school to enhance their provision and was not Council led, but given that the Council were reviewing operational capacity for special school places, this request would be considered.

Grant explained that in principle the Council could look at the high needs block funding as the Council is continuing to look at increasing capacity. A review of the costs and whether it was feasible to run the school on a second site and the additional costs would need to be fully considered.

Forum members asked the following questions:

Q Is the John Ivie Centre currently being used by Exeter House School as it looks derelict from the outside?

A Yes, it is currently being used and it is shared with a church group who use it on a Sunday.

Q Did the local authority promise funding for the school to expand to another site at any point?

A No, Exeter House went ahead with the expansion of the school and the possibility of additional funding was not discussed – they did it to enhance their own provision. With this request now coming in this is giving us an opportunity to review and the potential options going forward for the school to expand.

Q We had previously said no to their request for additional funding and now as they are already up and running, why are they requesting funds from us if they thought they could do it without us?

A That's what we need to find out from them – are there additional pupils now on that site?

Q If funding was granted to Exeter House, would this affect other top up payments?

A This is what we need to consider/balance out. If we cannot provide special school places when needed we would have to use placements out of county and these can cost twice as much.

Marie Taylor confirmed that there would be a group set up to review this request from Exeter House and that the comments received from Schools Forum would be noted as part of the response. An update would be provided at the next Forum meeting.

**Resolved:**

**That the request from Exeter House School be noted and that an update be received at the meeting on 11 October 2018.**

36 **Confirmation of Dates for Future Meetings**

The Forum noted that the future meetings would be held on:

11 October 2018 and  
6 December 2018.

37 **Urgent Items**

There were no urgent items.

(Duration of meeting: 1.30 - 2.55 pm)

The Officer who has produced these minutes is Lisa Pullin of Democratic Services,  
direct line 01225 713015 , e-mail [committee@wiltshire.gov.uk](mailto:committee@wiltshire.gov.uk)

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An introduction to the Families and Children's Transformation (FACT) programme.



# Contents

- 1. What is FACT?**
- 2. Why FACT? Why now?**
- 3. What are we hoping to achieve?**
- 4. How? Programme approach**
- 5. How? Reporting and governance**
- 6. How? Workstreams and projects**
- 7. Engagement**



## Presentation objectives

- Explore the reasons why we have a transformation programme
- Understand what the FACT programme is about and the outcomes we are looking to achieve
- Share the wide range of FACT projects and how they fit together
- Describe the programme approach, roles and expectations and governance arrangements
- Share how to get involved



## What is FACT?

- FACT stands for **Families and Children's Transformation**
- FACT is a **partnership** of agencies working together to achieve **whole-system change** – in order to significantly improve the chances of all our **children living safely, healthily and happily** in their own families and communities

## Why FACT? Why now?

### Children's Services (national picture):

- The Local Government Association (LGA):
  - £500m cut in Early Help government funding since 2013 and projected cuts of £183m by 2020 - a 40% overall reduction.
  - 75% of councils exceeded their children's social care budgets by a total of £605m in 2015/16.
  - unprecedented surge in demand with a 140% increase in child protection enquiries in the past 10 years.
- One in every five children in England referred to children's services before the age of five (UCLan).
- A referral is made to children's social care every 49 seconds.

## Why FACT? Why now?

### Wiltshire Council:

- Currently we spend almost £1 billion each year on more than 350 services.
- Government funding has reduced by £25 million.
- Facing £27 million of pressures (demand, inflation and national pay award).
- So need to find £52 million of savings and additional income.  
Proposed:

- £26 million from council tax and adult social care levy.
- £26 million from savings and additional income.

## Why FACT? Why now?

### NHS (national picture)

- Ageing population and with more long term health conditions
- Increasing demand on A&E; pressure on wards; cuts to community-based provision
- More expensive drugs; more costly care – spend per person has increased more than 2.5 times since 1997
- Institute for Fiscal Studies believes over the 10 years to 2020 the NHS budget across the UK will not have increased enough to keep pace with the ageing and growing population.

### Wiltshire NHS picture:

- By 2017/18 there is likely to be a £100 million annual funding gap in the Wiltshire, B&NES and Swindon area.

# Why FACT? Why now?

## Education

- Institute for Fiscal Studies: 'Schools have not experienced this level of reduction in spending power since the mid-1990s'
- National Audit Office forecast a £3 billion real-terms cut to school funding by 2020
- DfE figures show that 4,152 children with SEN/Disabilities went without a place last year, up from just 776 in 2010
- Continuing cost pressures: non-pay costs, growing school population, agreed pay increases, national living wage, increased NI and Pension contributions.

## Why FACT? Why now?

### Police

- Wiltshire Police has received £19 million less funding from central government in real terms since 2010 but has responded to more crimes and supported more members of the public year on year.
- Wiltshire received the fourth-lowest funding per resident in England and Wales last year.

Pressure felt across the whole system

Requires whole system thinking

## Why we need to work together differently

- Pressures on public funding will continue for the foreseeable future, creating an imperative for better performance within constrained funding envelopes
- The need to eradicate gap and duplication as it is both wasteful and dangerous
- A concern that there is insufficient progress on improving outcomes for vulnerable children and more should be done to accelerate this.
- Whilst generally Wiltshire is a good place for children to grow up the gap for our vulnerable children is of concern.



- Our children's emotional wellbeing is not as good as we would want – admission to hospital for self harm rates are poor.
- Too many of our children living in poverty don't do as well as their peers only 40% are assessed as school ready
- The gap in educational outcomes continues across the years
- Whilst the numbers of Looked after Children are relatively stable too many of our young people experience multiple admissions to care.
- We have professional concerns in relation to the threshold for Child Protection interventions – this is borne out in national studies

## One page profiles (“Infographics”)

- Designed to provoke and stimulate
  - Early Years
  - SEN and Disability
  - Vulnerable young people
  - Looked After Children and Care Leavers
  - Our workforce
  - Being outcomes-focused
- How well do we know really ourselves?
- Do we see the connections?
- How truly ambitious are we?
- Whose outcomes?

Embedded file:  
double click to open

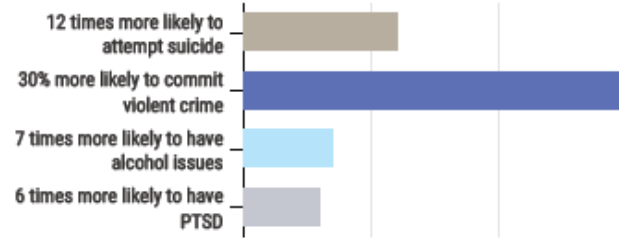


Infographics


90% of a child's critical brain development happens by age 5

A child's early years - what do we know?

Childhood **abuse or trauma** changes the brain from a learning brain to a survival brain, deeply affecting a child's development:



# Early Years in Wiltshire

 16,600 (44%) of 0-5s live in the top 5 community areas for crime

**1 in 3** children do not start school with a Good Level of Development - and they'll fall further behind

 **50% of referrals to our Serious Case Review Panel are for babies less than 1 year old**

**16% of 0-5s live in areas with the highest rate of MASH contacts related to domestic violence**

**13.4% of children aged 0-4 are living in deprivation**

Right now we have 716 under 5s with social services at risk of abuse or neglect. What will their adult life in Wiltshire look like?



Where does your agency come across our youngest children?  
 What are our aspirations for them?  
 How do we move from 'developing' to 'maturity' on the Troubled Families maturity matrix?  
 What are our **shared priorities** to make the biggest difference?



CDC-Kaiser Permanente Adverse Childhood Experiences (ACE) Study - 1995-1997  
 Police Crime Statistics (offences between Feb 2015 and Jan 2016)  
 Children's Social Care - contacts by community area and type Apr-Dec 2015  
 Adults Surviving Child Abuse (ASCA): The cost of unresolved trauma and abuse (2015)  
 Local Authority Intelligence Tool (LAIT) 2014  
 Wiltshire Child Poverty Needs Assessment 2014 (including DWP child poverty figures 2011)  
 EYFS September 2017 school intake

One page profile example: Early Years

# What are we hoping to achieve?

## Five Driving Principles for FACT

- **Intervene earlier** – we will provide support early to prevent families' difficulties escalating and in doing so improve outcomes and reduce demand for higher tier services
- **We want families to be able to care for their children**; where children cannot continue to live in their immediate or wider family or community we will ensure they achieve permanence in a timely and effective way
- We will **reduce spend** by eradicating duplication, simplifying and integrating processes and improving multi-agency integrated working and collaboration
- We will **maximise time spent with families** and in doing so improve the child's experience of support and build resilience in communities
- We will ensure we are an **effective confident workforce** with a robust career profile operating a consistent model of practice within a learning organisation.

## How?

### Programme approach

- We will deliver the programme in a **co-production** framework engaging with families, children and young people, staff and partners
- We will ensure these **synergise** with other projects & development work
- The Programme will follow the principles of Systems Leadership. 'System leaders' have clear, **shared priorities** that are grounded in the **needs of their communities** and not in the interests of individuals or their organisations.
- The programme will define and **model the values and behaviours** we want to operate when working with children, families and with each other.
- The Programme will **Obsess about Outcomes!**

## How?

### Being Obsessed with Outcomes!

- My family life is better
- My work life is more rewarding
- The quality of what I deliver/receive is better
- We all know we are spending our scarce resources well
- We can be more confident that we will be able to continue to offer crucial support to people in need

## How?

Partnership reporting and governance

Health & Wellbeing Board

FACT Board

Workstream Leads

Project Leads

# How?

## Programme structure

### **8 Partnership Workstreams**

1. Getting the best start in life
2. Being ambitious for children with special educational needs and disabilities
3. Supporting young people to live in their families and communities
4. Improving permanency for Looked After children
5. A good education for all
6. Working in an outcomes-based way
7. An effective workforce and volunteers
8. An integrated Case Management System



<p><b>Case Management System (CMS)</b> Theresa Leavy</p>	<p><b>Working in an outcomes way</b> Tamsin Stone</p>	<p><b>A partnership approach to supporting young people live in their families and communities</b> Jen Salter</p>	<p><b>Improving permanency for our children</b> Susan Tanner</p>	<p><b>Getting the best start in life</b> Sally Johnson</p>	<p><b>Effective workforce and volunteers</b> Paula Marsh</p>	<p><b>A good education for all</b> Nick Breakwell</p>	<p><b>A partnership approach to being ambitious for children with SEND / disabilities</b> Martin Davis</p>	<p><b>Commissioning and Procurement sub-group</b></p>
<p>Single View and portals</p>	<p>Front door and local pathways, including partnership thresholds and singular referral, assessment and plan</p>	<p>Stable Housing Options Review</p>	<p>Kinship care, connected persons &amp; SGO arrangements</p>	<p>Partnership pathway for SEN early help</p>	<p>Recruitment and retention review</p>	<p>Traded Services</p>	<p>Transport review</p>	<p>Shared Commissioning roadmap <i>Informing and informed by FACT activity</i></p>
<p>LiquidLogic Children's System (LCS)</p>	<p>Partnership performance and outcomes framework</p>	<p>Integrated mental health services</p>	<p>Reunification</p>	<p>Improving integrated working in Early Years</p>	<p>Engaging Effective Volunteers</p>	<p>Social Emotional Mental Health Review</p>	<p>Flexible Workforce</p>	
<p>Early Help Module (EHM)</p>		<p>Emergency Duty Service (EDS) review</p>	<p>Sufficiency of Wiltshire Council foster carers</p>	<p>Improving Awareness and Access to Early Years programmes</p>	<p>Families and Children roles and functions review</p>	<p>Closing the gap in attainment at all key stages for vulnerable groups</p>	<p>Employment and training for all and exploring a whole life service (including for those with SEN, learning and/or mental health difficulties)</p>	
<p>Early Years Education System (EYES)</p>		<p>YOT and Emerald Team roles/functions review</p>	<p>Sufficiency of IFA and Residential care placements</p>	<p>Easy access to the right, consistent information for professionals and families</p>	<p>Exploring with partners a singular workforce</p>	<p>Delegation and assessment (Schools and EHCP)</p>		
		<p>Implement 'no wrong door' model and ASP roles/functions review</p>	<p>Permanency for care leavers incl. Staying Put</p>	<p>Local Area Coordination</p>	<p>One shared language and vision</p>	<p>Lead Worker role review</p>		
		<p>High Frequency Callers</p>						
		<p>Family Led Review of Child Protection (CP) Interventions</p>						

### Supporting young people to live in their families and communities

Stable Housing

Integrated Mental Health

Emergency Duty Service

YOT & Emerald Team roles/functions review

Implement a "No Wrong Door" model

High Frequency Callers

Family Led Review of Child Protection Interventions

### Getting the best start in life

Partnership pathway for SEN

Improving integrated working in the Early Years

Improving awareness and access to EY programs

Easy access to the right, consistent info for professionals and families

### Effective Workforce & Volunteers

Recruitment & retention review

Engaging effective volunteers

Families & Children's roles & functions review

Exploring with partners a singular workforce

One shared language and vision

### Improving permanency for our children

Kinship care, connected persons & SGO

Reunification

Sufficiency of in-house foster carers

Sufficiency of IFA & Residential placements

Permanency or Care Leavers incl. Staying Put

### Integrated Case Management System

- Early Help Module (EHM)
- Early Years Education System (EYES)
- LiquidLogic Children's System (LCS)
- Single View & Partners Portal

### Working in an outcomes way

- Front doors & local pathways – including thresholds and a singular assessment and plan
- Partnership 'Performance & Outcomes' framework

### A good education for all

- Social Emotional Mental Health Review
- Traded Services
- Closing the gap in attainment at all key stages for vulnerable groups
- Delegation and assessment (Schools and EHCPs)
- Lead Worker role review

### Being ambitious for children with SEN/D

- A flexible workforce
- Transport review
- Employment and training for all
- Exploring a whole life service (including those with SEN, learning difficulties and/or mental health difficulties)

## Some highlighted activity:

- Co-producing a shared Partnership Strategy with children, families and partners
- Investing in Systems Leadership
- Developing an Early Support Hub and local pathways
- IT-enabled partnership working via new Case Management System
- A good education for all – including SEMH Review and SEND Lead Worker role review



Contact us at  
[FACT@Wiltshire.gov.uk](mailto:FACT@Wiltshire.gov.uk)

**FACT Programme Team:**

David Ashdown  
Kirsty Balsillie  
Martin Coates  
Theresa Leavy  
Heather Lovelock  
Tamsin Stone

Joanne Hobbs  
Gav Roberts  
Lauren Collins  
Ashleigh Doyle  
Paul Fisher-Thompson

**Thank you!**

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## Families and Children's Transformation (FACT) programme update

### Purpose of Report

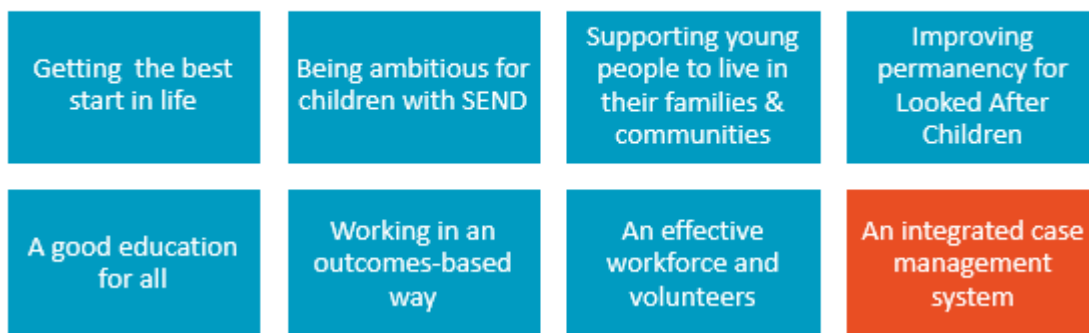
1. To provide a brief update to the Schools Forum on progress of the Families and Children's Transformation (FACT) programme.

**“ensuring all Wiltshire families thrive”**

### Background

2. An introductory presentation on the FACT Programme was delivered to the Schools Forum on 28 June 2018. This document provides an update on key activity and progress in the interim.
3. FACT is a partnership programme designed to achieve whole-system change in order to significantly improve the outcomes of all our children living safely, healthily and happily in their own families and communities. FACT is comprised of 8 workstreams and around 30 projects (appendix 1):

8 Workstreams, over 30 projects



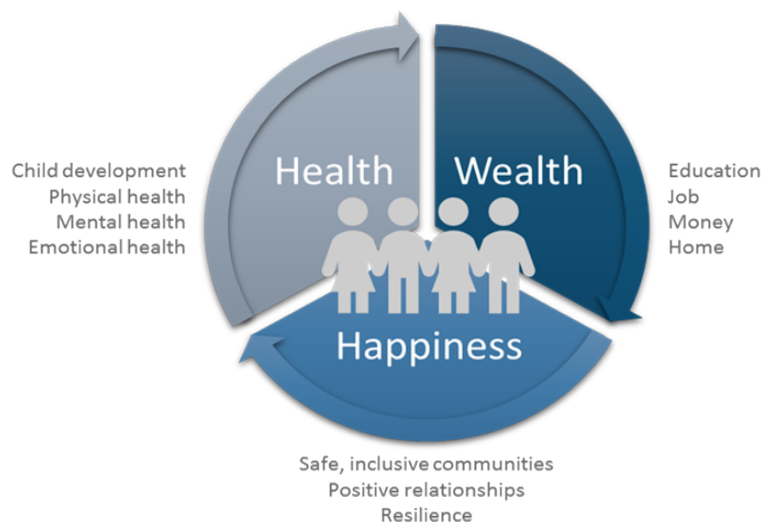
### Update

#### **A shared, ambitious Partnership Strategy**

4. The FACT Programme is currently running co-production events with children, families and colleagues across the partnership to gather insights into what's critically important to them and to **co-produce a shared Partnership Strategy**.
5. The Partnership Strategy will describe our “relentless determination to enhance social mobility, build resilience and deliver more efficient and impactful services

that in turn improve outcomes for children and families whilst delivering savings both to the Local Authority and the wider partnership”.

6. The workstreams within FACT will both inform and be informed by the Strategy – which will be underpinned by our core principles (appendix 2).



### **A Good Education for All workstream**

7. The **Good Education for All** workstream is focusing on ensuring that all vulnerable children achieve their academic potential and has a particular focus on closing the gap for pupils from vulnerable groups. The workstream is taking a whole school/whole system approach to working in an inclusive and trauma-informed way.
8. Following feedback before the summer break it became apparent that we needed to rethink, together, how best to pursue these aspirations without causing duplication or gaps with the RESET project (the council’s project to align the objectives of the Education and Skills directorate with Economic Regeneration as part of its ambition to deliver improved social mobility in Wiltshire) and the development of the Education Transformation Board.
9. In view of this, engagement sessions with schools and other key stakeholders will be established to help shape and develop the critical projects within this workstream.

### **IT-enabled integrated working**

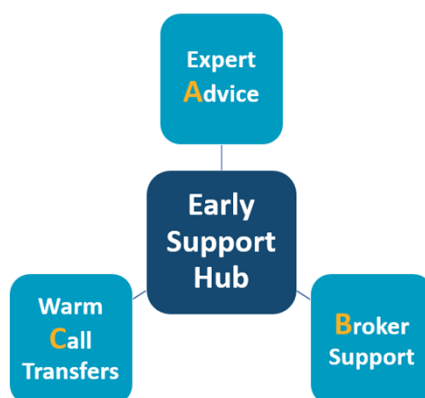
10. Wiltshire Council is investing in a new **Case Management System** which brings together and replaces multiple IT systems across early years, education, early help, SEND and safeguarding into one system.
11. The FACT Board’s aspiration is to deliver IT-enabled integrated working across agencies by **sharing access with our partners** (within relevant consent and information sharing protocols).



12. The new case management system will enable users to input, read and retrieve information relevant to the child and family they are working with - allowing faster and safer exchange of information, more informed decision making and enabling practitioners to see the impact of service provision.
13. Furthermore, the new system will streamline IT and admin based activities for all users, releasing time to spend with children and families.
14. To determine partners appetite and readiness for this opportunity, consultation with partners is currently being undertaken (workshops with school Designated Safeguarding Leads are taking place during October).
15. The social care and early help modules of the new system are being rolled out to Council colleagues in November 2018, with key partner agencies coming online from January 2019 onwards. The roll-out of further modules (such as Early Years, School Admissions, Education and SEN) will then follow.

### **Creation of an Early Support Hub (to sit alongside the MASH)**

16. On 31 July 2018, the FACT Programme Board signed off proposals for the creation of the **Early Support Hub**.
17. The Early Support Hub will support cases that do not meet the current thresholds for MASH intervention. 75% of Contacts to our front door do not require allocation to social care but require professional advice, consultation and careful brokering of appropriate early support.
18. We know that when we invest time and expertise to advise and support professionals effectively, we see less demand back into our front door as there is a higher likelihood that the child and family's needs will be appropriately met (as evidenced by audits and analysis of MASH Consultation outcomes).



19. The Early Support Hub will sit alongside the MASH and be resourced to more effectively support those enquiries that, on review, do not require social care resource. Implementation is underway and further communications on these developments (including anticipated launch date) will follow.
20. Advice and guidance within the Early Support Hub will be governed by the **revised safeguarding threshold guidance** - which will be out for consultation

by the Wiltshire Safeguarding Children Board this autumn. This guidance will meet the Wiltshire Safeguarding Children's Board statutory requirements and be owned by them but will also incorporate a partnership approach to how thresholds will be managed reflecting a common language.

21. It is anticipated that this will promote a conversational based approach to determining the services required and encourage a holistic review of the family situation to ensure that all contributing factors have been taken into account.
22. The FACT Programme is broad and deep in scope and ambition. Relevant key updates have been included in this paper; to keep up to date with wider FACT developments, the Programme is developing a website which will contain the latest news (at <http://www.wiltshire.gov.uk/children-young-people-fact>). Newsletters will continue to be circulated and involvement is open to all by emailing [FACT@wiltshire.gov.uk](mailto:FACT@wiltshire.gov.uk).

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**Report Author:**

Tamsin Stone, Families and Children's Transformation Programme

30 September 2018

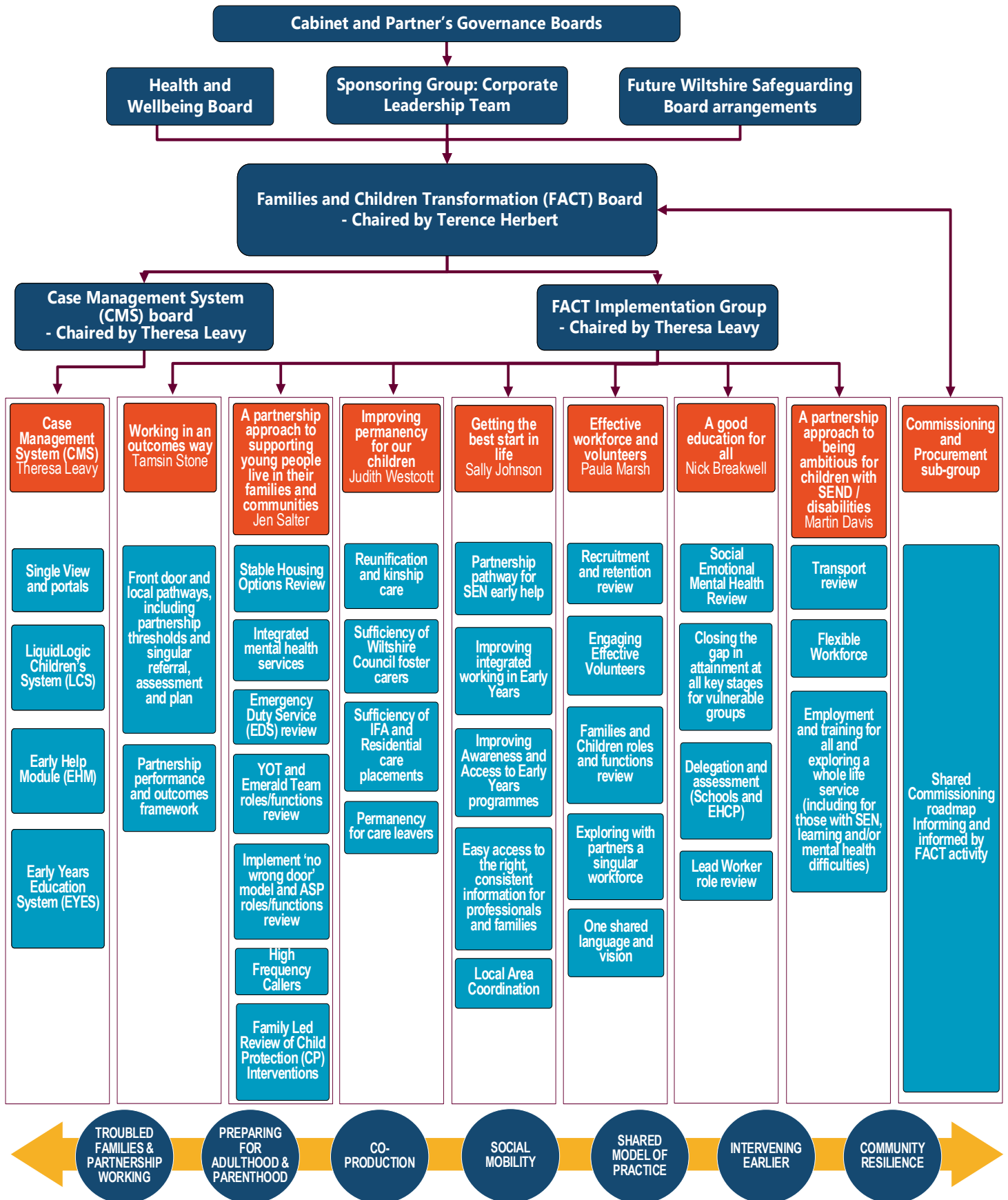
**Background Papers**

None

**Appendices**

1. FACT Governance Chart
2. FACT Core Principles

# 1. FACT Governance Chart



## 2. FACT Core Principles:

### **Help when you need it**

We will intervene earlier – we will provide support early to prevent families' difficulties escalating and in doing so improve outcomes and reduce demand for higher tier services

### **We are Better Together**

We will streamline, simplify and integrate processes and work towards a fully integrated multi-agency model of intervention.

### **More time to be with Families**

We will maximise the time our staff can spend with families and in doing so improve the child's experience of support.

### **Investing in our Staff**

We will ensure we are an effective confident workforce with an effective practice framework.

### **Resilient communities with Equity of Opportunity**

We want to ensure all our children and young people reach their full potential by having high aspirations for, and creating opportunities with, those who are disadvantaged through poverty or vulnerability. We want to support families in overcoming difficulties and being able to care for their children and each other.

## School Funding Working Group and SEN working Group

26<sup>th</sup> September 2018

### Minutes

**Present:** Marie Taylor, Grant Davis, Judith Westcott, Nick Breakwell, Neil Baker, Catriona Williamson, Sam Churchill, John Hawkins, Phil Cook, Jane Ralph

**Apologies:** Lisa Percy

1	<p><b><i>Minutes from previous meeting</i></b></p> <p>The minutes of the previous meeting were reviewed. There were no matters arising that had not already been considered by Schools Forum at the June 2018 meeting other than the Income &amp; Expenditure report on the Behaviour Support Service. MT shared the 17/18 outturn position and discussion was largely around the training income – the reason for requiring additional income is an alternative way of funding the team as no increment or inflationary increase for staff pay have been awarded from HNB DSG. NB was asked to provide information / performance data around the type of children BST work with and the impact of their work. It was noted that the de-delegated arrangement would cease after 20/21 and the service was well regarded so no plans to propose change prior to this.</p>	NB
2	<p><b><i>Election of Chair &amp; Vice Chair at Schools Forum</i></b></p> <p>GD confirmed Democratic Services would lead on this.</p>	
3	<p><b><i>School Revenue Balances</i></b></p> <p>Jane Ralph presented her report and supporting appendices on 2017/18 school balances which was the subject of much discussion. Of particular concern were those schools with large and / or long-term deficits and those also with significant uncommitted / ringfenced surpluses without explanations such as rising or falling rolls.</p> <p>It was mooted that financial situation should be aligned with the challenge and support programme as the two may align (poor performance and poor financial performance) or contradict each other and the financial information may prevent further deficit or, be made available for further investment in schools to improve standards – links with CASP and annual conversation would be good practice.</p> <p>An offer of recovery planning assistance is made to schools identified as requiring support from Accounting &amp; Budget Support Team and despite this being part of the LA's strategic role and not chargeable, the take up is low.</p> <p>Deficit budgets and recovery plans are approved and are supported by a local authority licenced deficit over an agreed payback period. The one school with significant deficit is being dealt with by the S151 Officer personally.</p> <p>I&amp;E report – 3 schools did not send these in.</p> <p>GD to liaise with peer group from other local authorities to see what</p>	GD

	<p>actions are taken elsewhere to provide challenge to school balances and non adherence to required return deadlines.</p> <p>JH thanked the Jane and the team for their work on the report.</p>	
4	<p><b>Budget Monitoring – Period 10</b></p> <p>MT circulated a budget monitoring report giving the projected outturn as at period 5 (31 August 2018). The report showed a projected overspend for high needs budgets, offset somewhat by the early years block expenditure. This increase in central HNB expenditure is due to a whole plethora of factors from provision in school to complexity of children but the outcome being that activity (volume) has increased, forecast FTE exceeds budgeted FTE.</p> <p>The impact would be that the overspend would exceed the level of the DSG reserve resulting in a deficit to be rolled forward in to 2019-20.</p> <p>The group considered potential recovery options. It was noted that recovery options can only be looked at within the high needs blocks as budgets for other blocks had been finalised.</p> <p>Further work is required to minimise the overspend for the current year and to reduce future years costs. The new High Needs Block Working Group met for the first time in September with a view to identifying contributing factors and to make proposals to reduce the pressure on the high needs block. The Council’s internal SEN Placements Budget Monitoring Group will examine brokerage and commissioning opportunities.</p> <p>NB raised value for money from the Speech &amp; Language contract and JW was tasked with providing contract monitoring performance data schools.</p>	JW
5	<p><b>School Funding 2019/20 Guidance Update</b></p> <p>GD circulated a paper outlining the changes from the DfE’s guidance.</p> <p>The actual DSG figures will not be released until December but they indicate positive news for Wiltshire Schools. One of the changes is that the growth formula will be calculated and allocated differently using lagged growth data. This will be a proxy for calculating the funding for Wiltshire but there is no requirement to to set the growth fund at this level.</p> <p>NB suggested as the soft formula would now be extended until 2020/21 that we would choose not to adhere to this for 19/20 and 20/21. GD confirmed £292k was currently distributed under sparsity in 2018-19. Schools Forum did not support the sparsity formula as a formula driver when setting the budget but agreed to set the budget as near to the national formula as possible. GD to look at alternative modelling or withdrawal of sparsity funding</p> <p>Double funding around deprivation and PPG was raised, GD reminded us that an adjustment had already been made in 2018-19 to reduce the impact of funding and the affordability of the NFF in Wiltshire.</p>	GD

6	<p><b>High Needs Block 2019/20 Guidance Update</b></p> <p>GD circulated a paper outlining the changes from the DfE's guidance.</p> <p>There are no significant changes to the formula proposed, an indicative increase of £730k is yet unconfirmed and should be treated with caution. NB asked GD to establish what might be driving the estimated increase.</p>	GD
7	<p><b>AOB</b></p> <p><b>Army Rebasing</b> – GD reported that the DfE have confirmed at 7/12 funding for maintained schools wef September. Academies will require 12/12 as they have a different financial year end – GD is in discussions with the DfE as they do not appear to have planned for this.</p> <p><b>Teachers Pay Award</b> – GD provided a verbal update on the teachers' pay award. Funding from the DfE will be awarded to schools on a per pupil basis and on a place funding for special schools. No clarity yet on when the funding will be received by the local authority to pass to schools.</p> <p><b>Teachers Pensions</b> – GD provided a verbal update and some very recent information received from the Council's HR consultant, Janice Hiscock. The increase has been estimated at 7.12% (23.6% - current 16.48%) which was previously planned at 2%. There will be some funding from the DfE for 19/20 to help meet additional costs. Consultation is underway to determine the final funding arrangements.</p> <p>HCSS systems will need to be updated nationally following the actual confirmed increase.</p> <p><b>Interim Arrangements</b> – The S151 Officer for the Council, Ian Duncan will be leaving for a new assignment in Northamptonshire around November 2018. Recruitment is underway for a replacement interim and dates have been set in November for interviews for the permanent Director of Finance. As a result, Marie Taylor Interim Head of Finance has been extended until 31st January 2019. Susan Tanner has now left the Council and Judith Westcott is acting up into the Head of Service for Childrens Commissioning post for 6 months.</p>	EW
8	<p><b>Date and Time of Next Meeting</b></p> <p>Next meeting to be held on Monday 19<sup>th</sup> November 2018, Longleat Room at County Hall, Trowbridge</p>	

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## Wiltshire Council

### Schools Forum

11 October 2018

### Schools revenue surplus and deficit balances 2017/18

#### Introduction

1. This report presents the position of revenue balances for Wiltshire maintained schools as at 31<sup>st</sup> March 2018 and identifies those that are in deficit.
2. The analysis includes those schools that converted to academy status after 31<sup>st</sup> March 2018 but excludes those that converted during the 2017/18 financial year.
3. In September 2017, members considered a report on schools' balances and deficits as at 31<sup>st</sup> March 2017. In that report, the value of surpluses was £9.985 million and 17 schools were in deficit with a total value of £3.585 million.

#### Main considerations

4. The movement in net revenue balances over the last 3 financial years is shown in the following table: -

	2015/16	2016/17	2017/18	2017/18 Balances as % of 2017/18 Budget Share	Increase/ Decrease from 2016/17	Increase/ Decrease from 2016/17
	£	£	£	%	£	%
Primary	10,017,148	8,041,411	8,029,388	7.10	-12,023	0.15
Secondary	-1,794,414	-1,911,754	-1,802,768	1.59	108,986	5.70
Special	401,528	270,462	233,854	0.21	-36,608	13.54
	<b>8,624,261</b>	<b>6,400,119</b>	<b>6,460,474</b>	<b>5.72</b>	<b>60,355</b>	<b>0.94*</b>

\*NB: this represents the total percentage increase in all schools balances between 2016/17 and 2017/18

5. Consideration of net revenue balances obscures the underlying trend of gross revenue surplus and deficit balances. For transparency, the gross balances are identified below:

	Surplus balance			Deficit balance		
	2015/16 £	2016/17 £	2017/18 £	2015/16 £	2016/17 £	2017/18 £
Primary	10,414,634	8,693,506	8,575,480	-397,486	-652,095	-546,092
Secondary	973,445	1,020,995	882,425	-2,767,859	-2,932,749	-2,685,193
Special	401,528	270,462	244,570	0	0	-10,717
<b>Total</b>	<b>11,789,607</b>	<b>9,984,963</b>	<b>9,702,475</b>	<b>-3,165,346</b>	<b>-3,584,844</b>	<b>-3,242,001</b>

6. Appendices attached to this report further analyse the overall position on schools' revenue balances as follows:
  - i) *Appendix 1* analyses the 2017/18 revenue balances to categorize those that are above limit, reasonable or a deficit.
  - ii) *Appendix 2* ascertains whether the Authority would trigger an investigation from the DfE, as described in paragraph 7(a), by identifying those schools that have had revenue balances in excess of 15% for the last 5 years.
  - iii) *Appendix 3* further analyses surplus revenue balances in excess of 5% or 8%, for secondary or primary/special schools respectively.
  - iv) *Appendix 4* ascertains whether the Authority would trigger an investigation from the DfE, as described in paragraph 7(b), by identifying those schools that have had a deficit balance in excess of 2.5% for the last four years.
  - v) *Appendix 5* compares planned revenue deficits against final outturn.
7. As part of the dedicated school's grant (DSG) assurance framework, the DfE ask local authorities to provide additional information where:
  - a) Where the authority has 5% of schools that have had a surplus of 15% or more for the last 5 years and their individual surplus must have been at least £10,000 each year. Authorities will only be asked for more information where at least three schools meet the criteria
  - b) Where the authority has 2.5% of its schools that have been in deficit of 2.5% or more for the last 4 years and their individual deficit must have been at least £10,000 each year.
8. Schools Forum considered how best to enable the Local Authority to fulfil its key role in supporting and challenging schools on excessive surplus balances whilst also encouraging prudent financial management. As a result, it was agreed that schools would be presented with an annual School Financial Management Information Statement at year end. This reports on key areas as follows:
  - i) **Revenue balances** - an analysis of revenue balances for the previous five years including the balance as a percentage of the School Budget Share for the corresponding year. Revenue balances in excess of 5% and 8%, for secondary and primary/special schools respectively, are highlighted as are deficit balances
  - ii) **Budget monitoring** - a comparison of the forecast year end position for revenue balances, as defined on the budget template and biannual Income and Expenditure returns, to the actual year end position to help schools determine the effectiveness of their monitoring and control

Assurance is sought from all schools that the Statement has been considered by the responsible officer and governing body.
9. When considering individual revenue balances, the underlying factors and causes generating or reducing balances need to be taken into consideration. Factors which may skew any analysis include:
  - i) Academies – year on year analyses of total revenue balance data should be discounted to reflect the effect of academy conversions

- ii) Formula Capital – schools receive significantly reduced Devolved Formula Capital allocations when compared to historic levels of funding and may retain revenue balances in support of planned capital projects
- iii) Pupil Premium and PE grant– these do not have to be spent in year and some, or all, may be carried forward to future financial years

### Key issues

- 10. The net revenue balance of £6.46 million is an increase of 0.94% compared to the 2016/17 net revenue balance of £6.4 million. An analysis of the gross revenue surplus balances reveals that the downward trend which commenced in 2016/17 has continued with a decrease of 2.8% being recorded from 2016/17 to 2017/18.
- 11. Deficit balances grew from 2012/13 to 2016/17 but a decrease of 9.56% was recorded from 2016/17 to 2017/18. This was due to the conversion of Wyvern College and Trafalgar School at Downton, whose significant deficit balances were no longer included in the total.
- 12. Despite the exclusion of those schools converting to academy status, the number of schools in deficit has increased by 2 to 19. The total value of the deficits is £3.242 million which reflects a decrease in value of £0.34 million.
- 13. Since the withdrawal of the Controls on Surplus Balances Scheme from 2013/14, the number of schools with surplus balances each year has been recorded as follows:

NB: 2012/13 has been included for reference.

	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18
≥ 5% for secondary and 8% for primary	38	61	82	99	75	78
≥ 15%	7	14	26	36	27	28

- 14. Appendix 2 informs that 6 schools have had revenue balances in excess of 15% for each of the last five years. This equates to 4.2% of Wiltshire Schools as at March 2018 and would not trigger further enquiry from the DfE as described in paragraph 7(a).
- 15. Appendix 4 informs that 4 schools have been in deficit of 2.5% or more for each of the last four years. This equates to 2.78% of Wiltshire Schools as at March 2018 and could, potentially, trigger further enquiry from the DfE as described in paragraph 7(b).
- 16. Appendix 5 indicates that 15 of the 19 schools in deficit had predicted a year end deficit.
- 17. The School Financial Management Information Statement was presented to schools for the 2016/17 financial year and assurance was sought that the Statement had been considered by the responsible officer and governing body. 97 of 157 schools responded and, of these, 13 included comments in support.
- 18. As schools convert to academy status there is an impact on the value of balances held by schools and recorded in the Local Authority's accounts. Converter and sponsored academies are able to take any accumulated capital and revenue balances with them with the exception of those schools closed through statutory processes or the Secretary of State issuing an academy order in respect of the school being eligible for intervention.

19. Deficit balances, unlike surplus balances, are not covered in the same way by legislation. For a converter academy, the local authority is reimbursed the value of the deficit with the money being recovered via abatement of the academy's General Annual Grant. In the case of a sponsored academy, the deficit remains with the local authority to be funded from its core budget.

### **Recommendations**

20. Schools Forum members are invited to note the report and comment on this report.

Terence Herbert  
Corporate Director

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Report Author: Jane Ralph  
School Strategic Financial Management Adviser  
Contact: Tel.: 01225 718569

**Analysis of Planned Revenue Deficits & Final Outturn**

DfE No.	School Name	Budget Template 2017/18		Income & Expenditure Forecast at Dec 2017		Revenue Actual 2017/18		Predicted & ended in deficit	Predicted a surplus/balanced budget & ended in deficit	Notes
2004	Greentrees		£97,196		£77,030		£33,463	✓		
2159	Kiwi		£44,686		£45,799		£12,132	✓		
2170	Grove		£37,938		£43,056		£60,445	✓		
2190	Woodlands	£36,437		£12,581			£6,962		✓	
3017	Longford		£140,157		£139,232		£125,197	✓		
3100	Lacock		£24,476	£947			£21,283	✓		
3134	Newton Tony		£21,738				£18,309	✓		I&E not submitted
3205	Sambourne		£6,201		£3,969		£425	✓		
3222	Market Lavington St. Barnabas'		£77,446		£58,707		£48,961	✓		
3318	Chilton foliat		£99,767		£98,923		£90,944	✓		
3372	New Forest	£5,726					£4,836		✓	I&E not submitted
3383	Sarum St Paul's		£57,781		£55,483		£57,656	✓		
3412	Christ the King		£53,019				£24,569	✓		I&E not submitted
3435	Wardour		£7,150		£7,203		£4,106	✓		
3459	Hindon	£9,839			£6,745		£17,353		✓	
3462	Amesbury Archer		£88,679		£56,962		£19,450	✓		
4000	Abbeyfields		£2,478,089		£2,505,694		£2,216,744	✓		
4070	The Stonehenge School		£592,327		£494,471		£468,449	✓		
7007	Downlands	£65,875			£3,477		£10,717		✓	
<b>Total value of deficits</b>			<b>£3,826,650</b>		<b>£3,596,751</b>		<b>£3,242,001</b>			
<b>Number of Deficits</b>			<b>15</b>		<b>14</b>		<b>19</b>	<b>15</b>	<b>4</b>	

## ANALYSIS OF REVENUE BALANCES 2017/18

School Phase	Balances Above Limit				Reasonable Balances				Deficit Balances			
	Number	Balance Value	2017/18 Budget Share	Balance as % of Budget	Number	Balance Value	2017/18 Budget Share	Balance as % of Budget	Number	Balance Value	2017/18 Budget Share	Balance as % of Budget
Primary	27 *1	£3,447,049	£17,291,239	19.9%	93	£5,128,431	£67,434,286	7.6%	16	-£546,092	£10,960,048	-5.0%
Secondary	1	£749,131	£4,269,155	17.5%	1	£133,294	£2,395,415	5.6%	2	-£2,685,193	£7,156,472	-37.5%
Special	0	£0	£0	0.0%	3	£244,570	£2,910,000	8.4%	1	-£10,717	£690,000	-1.6%
<b>Total</b>	<b>28</b>	<b>4,196,180</b>	<b>21,560,394</b>	<b>19.5%</b>	<b>97</b>	<b>£5,506,295</b>	<b>£72,739,701</b>	<b>7.6%</b>	<b>19</b>	<b>-£3,242,001</b>	<b>£18,806,521</b>	<b>-17.2%</b>

Page 54

## Deficits as a percentage of positive balances

School Phase	Deficits	Positive Balances	Deficit as a % of Balance
Primary	-£546,092	£8,575,480	6.4%
Secondary	-£2,685,193	£882,425	304.3%
Special	-£10,717	£244,570	4.4%
<b>Total</b>	<b>-£3,242,001</b>	<b>£9,702,475</b>	<b>33.4%</b>

## Classification of Balances

Balances above limit: Greater than 15% of School Budget Share  
Reasonable: Positive, but below 15% of School Budget Share  
Deficits: Negative

\*Indicates the number of schools that have converted to academy status since 31 March 2018



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**Analysis of schools that have had revenue balances in excess of 15% of their total School Budget Share (excluding Pupil Premium Grant) in the last 5 years**

DfE No	School Name	Type	2013/14	2014/15	2015/16	2016/17	2017/18	2017/18		
			%	%	%	%	%	Committed	Uncommitted	
2003	Fynamore	Primary	15.0	17.3	18.6	15.6	-	-	-	
2008	Fitzmaurice	Primary	-	18.2	19.4	-	-	-	-	
2022	Ivy Lane	Primary	-	16.0	-	-	-	-	-	
2023	St Paul's	Primary	-	-	16.6	15.9	-	-	-	
2029	Lypiatt	Primary	-	31.5	48.4	34.5	61.2	↑	£47,891	£75,618
2136	Westbury Infants	Primary	-	-	16.5	15.1	22.1	↑	£14,978	£147,758
2137	Westwood with Iford	Primary	-	-	-	-	17.9	↑	£5,123	£70,570
2162	Noremars Junior	Primary	-	-	-	15.2	15.6	↑	£19,057	£95,352
2168	Priestley	Primary	-	-	17.4	16.0	15.8		£0	£123,242
2191	Manor Fields	Primary	-	16.9	19.7	19.9	-		-	-
2198	Ludwell	Primary	-	18.0	17.9	19.8	-		-	-
2226	Charter	Primary	-	-	-	-	19.3	↑	£64,878	£112,560
3013	Box Primary	Primary	35.6	48.0	31.9	34.2	25.0		£12,995	£150,325
3015	Christ Curch	Primary	-	-	-	-	17.0	↑	£100,000	£140,683
3018	Broad Hinton	Primary	-	-	-	16.7	-		-	-
3020	St Nicholas CE VC	Primary	15.5	21.9	17.6	-	-		-	-
3023	St Katharine's	Primary	-	-	16.3	-	-		-	-
3035	Cherhill	Primary	-	-	-	17.5	16.1		£7,258	£106,711
3036	Chirton	Primary	-	-	21.4	23.5	19.2		£8,269	£47,096
3045	St Sampson's	Primary	-	-	19.7	-	-		-	-
3047	Crockerton	Primary	-	20.5	20.6	16.5	21.7	↑	£5,018	£82,031
3049	Collingbourne CE	Primary	15.9	20.3	24.9	26.9	16.6		£3,883	£66,844
3091	Hullavington	Primary	-	-	-	18.2	25.8	↑	£18,721	£127,417
3096	Kington St Michael CE	Primary	15.8	19.9	-	-	-		-	-
3149	Preshute	Primary	-	-	-	-	18.0	↑	£5,033	£122,230
3150	St Mary's CE	Primary	-	15.6	18.5	-	-		-	-
3166	Southwick CE	Primary	-	16.0	22.5	21.5	24.6	↑	£27,789	£142,141
3170	Staverton	Primary	-	-	-	-	15.1	↑	£45,000	£101,166
3186	Urchfont CE	Primary	-	17.2	18.0	29.1	33.3	↑	£8,511	£130,954
3190	St John's CE	Primary	18.5	22.0	17.6	-	-		-	-
3191	The Minster CE	Primary	-	17.8	17.7	-	-		-	-
3243	Great Bedwyn	Primary	-	-	21.1	17.8	-		-	-
3308	Bishop Cannings	Primary	-	-	18.0	-	-		-	-
3355	St Nicholas	Primary	-	-	20.8	23.6	19.1		£559	£86,244

DfE No	School Name	Type	2013/14	2014/15	2015/16	2016/17	2017/18	2017/18	
			%	%	%	%	%	Committed	Uncommitted
3381	Rushall CE VA	Primary	18.8	27.7	29.3	23.1	17.5	£10,226	£71,575
3387	St Martin's CE	Primary	17.6	17.3	21.5	18.3	16.4	£1,143	£116,812
3396	St Thomas A Beckett	Primary	-	-	-	-	15.5	£15,562	£28,156
3402	Whiteparish	Primary	-	-	15.8	19.5	16.3	£2,967	£81,330
3418	St Joseph's Catholic	Primary	-	20.0	-	-	-	-	-
3435	Wardour	Primary	-	-	15.2	-	-	-	-
3453	Chilmark	Primary	-	-	-	-	16.8	£8,877	£44,243
3467	Churchfields	Primary	-	-	15.4	17.4	16.3	£11,918	£82,671
3470	Wilton & Barford CE	Primary	16.2	22.1	23.5	16.8	15.5	£15,572	£98,217
3472	Bellefield	Primary	16.6	15.2	-	-	-	-	-
5206	Studley Green	Primary	-	22.0	28.3	29.1	27.9	£6,974	£302,385
5219	Clarendon Infants	Primary	23.6	18.3	22.1	21.0	21.3	£0	£224,516
5415	Matravers	Primary	-	-	-	19.4	17.6	£176,936	£572,195
7007	Downland School	Special	25.8	16.4	-	-	-	-	-
7009	St Nicholas	Special	-	16.6	29.7	-	-	-	-
<b>Total number schools</b>			<b>14</b>	<b>26</b>	<b>36</b>	<b>27</b>	<b>27</b>	<b>£645,139</b>	<b>£3,551,041</b>

Page 58

**Analysis of secondary and primary/special schools that have had revenue balances in excess of 5% and 8% respectively, within the last 5 years.**

*NB: calculated as a % of School Budget Share and excluding Pupil Premium Grant*

DfE No	School Name	Type	2013/14	2014/15	2015/16	2016/17	2017/18
2003	Fynamore	Primary	✓	✓	✓	✓	✓
2005	Nursteed	Primary		✓	✓	✓	✓
2008	Fitzmaurice	Primary	✓	✓	✓	✓	✓
2009	Bratton	Primary			✓		
2023	St Paul's	Primary	✓	✓	✓	✓	✓
2027	Marlborough St Mary's	Primary				✓	✓
2029	Lypiatt	Primary		✓	✓	✓	✓
2034	Monkton Park	Primary		✓	✓	✓	✓
2045	Gomeldon	Primary	✓	✓	✓		
2052	Hilmarton	Primary			✓	✓	✓
2053	Horningsham	Primary	✓	✓	✓		
2060	Luckington	Primary		✓	✓		
2086	Stanton St Quintin	Primary		✓		✓	
2087	Ramsbury	Primary		✓	✓		
2091	Harnham Infants	Primary	✓	✓	✓		
2136	Westbury Infants	Primary	✓	✓	✓	✓	✓
2137	Westwood-with-Iford	Primary			✓	✓	✓
2140	Wootton Bassett Infants	Primary	✓	✓			✓
2159	Kiwi	Primary			✓		
2162	Noremarsh	Primary	✓	✓	✓	✓	✓
2168	Priestley	Primary		✓	✓	✓	✓
2178	Princecroft	Primary		✓	✓		
2180	Redland	Primary		✓	✓		✓
2185	Mere	Primary			✓		
2190	Woodlands	Primary		✓			
2191	Manor Fields	Primary	✓	✓	✓	✓	✓
2198	Ludwell	Primary	✓	✓	✓	✓	✓
2222	Walwayne Court	Primary			✓	✓	✓
2225	Bitham Brook	Primary				✓	
2226	Charter	Primary	✓	✓	✓	✓	✓
2227	Newtown	Primary					✓
3000	All Cannings	Primary					✓
3002	Ashton Keynes	Primary		✓			
3013	Box Primary	Primary	✓	✓	✓	✓	✓
3015	Christ Church	Primary			✓	✓	✓
3018	Broad Hinton	Primary	✓	✓	✓	✓	✓
3019	Broad Town	Primary		✓	✓	✓	✓
3020	St Nicholas, Chippenham	Primary	✓	✓	✓	✓	✓
3035	Cherhill	Primary			✓	✓	✓
3036	Chirton	Primary			✓	✓	✓
3045	St Sampson's Junior	Primary		✓	✓	✓	✓
3047	Crockerton	Primary	✓	✓	✓	✓	✓
3049	Collingbourne	Primary	✓	✓	✓	✓	✓
3063	Durrington Junior	Primary			✓		
3086	Heddington	Primary	✓	✓	✓	✓	✓
3088	Hilperton	Primary		✓	✓	✓	✓
3090	Holt	Primary		✓			✓
3091	Hullavington	Primary		✓	✓	✓	✓
3096	Kington St Michael	Primary	✓	✓	✓	✓	✓

DfE No	School Name	Type	2013/14	2014/15	2015/16	2016/17	2017/18
3100	Lacock	Primary	✓	✓	✓		
3102	Langley Fitzurse	Primary		✓	✓		✓
3104	Lea and Garsdon	Primary	✓	✓	✓	✓	✓
3134	Newton Tony	Primary			✓		
3135	North Bradley	Primary			✓		✓
3149	Preshute	Primary	✓	✓	✓	✓	✓
3150	St Mary's, Purton	Primary		✓	✓	✓	
3158	Harnham Junior	Primary		✓			
3161	Shalbourne	Primary	✓	✓	✓	✓	✓
3163	Sherston	Primary	✓				✓
3166	Southwick	Primary	✓	✓	✓	✓	✓
3170	Staverton	Primary				✓	✓
3172	Stratford Sub Castle	Primary		✓			
3174	Sutton Veny	Primary			✓		
3186	Urchfont Church of England	Primary	✓	✓	✓	✓	✓
3191	The Minster	Primary	✓	✓	✓	✓	✓
3201	Winterbourne Earls	Primary		✓	✓	✓	✓
3220	Minety Church of England	Primary		✓	✓		✓
3230	Dinton	Primary				✓	✓
3239	St Johns	Primary			✓		
3242	Brinkworth Earl Danby's	Primary		✓	✓	✓	✓
3243	Great Bedwyn Church of England	Primary	✓	✓	✓	✓	✓
3306	Baydon St Nicholas	Primary			✓	✓	✓
3308	Bishops Cannings	Primary	✓	✓	✓	✓	✓
3316	Chapmanslade Church of England	Primary					✓
3330	Derry Hill	Primary	✓	✓	✓	✓	✓
3355	St Nicholas	Primary		✓	✓	✓	✓
3362	St Andrews	Primary			✓	✓	✓
3381	Rushall Church of England	Primary	✓	✓	✓	✓	✓
3387	St Martin's Church of England	Primary	✓	✓	✓	✓	✓
3396	St Thomas a Beckett	Primary	✓	✓			✓
3402	Whiteparish	Primary	✓	✓	✓	✓	✓
3405	Winterslow	Primary	✓	✓	✓	✓	✓
3406	Woodborough	Primary			✓		
3418	St Joseph's	Primary	✓	✓	✓	✓	✓
3430	St John's, Trowbridge	Primary			✓		
3435	Wardour	Primary		✓	✓		
3437	St Patrick's	Primary			✓	✓	✓
3448	Bemerton	Primary	✓	✓			
3449	Broad Chalke	Primary	✓	✓	✓	✓	✓
3453	Chilmark	Primary	✓	✓		✓	✓
3454	Semley	Primary			✓	✓	✓
3461	Kennet Valley Church of England	Primary			✓		✓
3464	Old Sarum	Primary			✓	✓	
3465	Wylve Valley	Primary	✓		✓		
3467	Churchfields, The Village School	Primary	✓	✓	✓	✓	✓
3468	Amesbury Primary	Primary					✓
3469	Five Lanes	Primary		✓			
3470	Wilton and Barford	Primary	✓	✓	✓	✓	✓
3472	Bellefield	Primary	✓	✓	✓		
4610	St Joseph's	Primary		✓	✓	✓	
5205	Frogwell	Primary			✓		
5206	Studley Green	Primary	✓	✓	✓	✓	✓

DfE No	School Name	Type	2013/14	2014/15	2015/16	2016/17	2017/18
5212	Sutton Benger	Primary	✓	✓	✓	✓	
5215	Ludgershall Castle Primary	Primary	✓		✓	✓	✓
5216	Pitton	Primary				✓	✓
5218	Clarendon Juniors	Primary	✓				✓
5219	Clarendon Infants	Primary	✓	✓	✓	✓	✓
5415	Matravers	Secondary			✓	✓	✓
7002	Rowdeford	Special	✓				
7007	Downland	Special	✓	✓		✓	
7009	St Nicholas, Chippenham	Special	✓	✓	✓	✓	✓
7010	Larkrise	Special	✓	✓	✓		✓
<b>Total number schools</b>			53	74	88	70	78

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## Analysis of schools that have been in a deficit position in the last four years, i.e. 2014/15 to 2017/18

DfE No.	School Name	Type	2014/15		2015/16		2016/17		2017/18	
			Deficit £	As a % of SBS	Deficit £	As a % of SBS	Deficit £	As a % of SBS	Deficit £	As a % of SBS
2004	Greentrees	Primary	-15,462	1.9	-33,318	3.4	-108,167	9.3	-33,463	2.2
2159	Kiwi	Primary	0	-	0	-	0	-	-12,132	1.5
2170	Grove	Primary	0	-	-16,301	1.2	-94,111	7.1	-60,445	4.4
2190	Woodlands	Primary	0	-	0	-	0	-	-6,962	0.8
3017	Longford CE	Primary	-47,478	18.7	-110,323	48.3	-122,310	48.0	-125,197	43.1
3100	Lacock	Primary	0	-	0	-	0	-	-21,283	6.8
3134	Newton Tony	Primary	0	-	0	-	0	-	-18,309	7.7
3140	Oaksey CE VA	Primary	-2,834	0.87	0	-	0	-	0	-
3192	Westbury CE Junior	Primary	-12,292	1.39	0	-	0	-	0	-
3199	Winsley	Primary	0	-	0	-	-2,642	0.5	0	-
3205	Warminster Sambourne	Primary	0	-	-17,215	3.2	-23,278	4.2	-425	0.1
3222	St. Barnabas	Primary	-22,129	7	-11,992	3.8	-44,559	14.5	-48,961	17.3
3228	Coombe Bissett	Primary	0	-	0	-	-11,437	2.9	0	-
3318	Chilton Foliat CE	Primary	-11,168	3.86	-2,956	1.0	-41,424	12.6	-90,944	25.6
3372	The New Forest	Primary	0	-	0	-	-9,637	1.4	-4,836	0.7
3383	Sarum St Paul's	Primary	0	-	-3,482	0.4	-42,779	5.5	-57,656	7.1
3412	Christ the King	Primary	0	-	0	-	0	-	-24,569	2.5
3435	Wardour	Primary	0	-	0	-	0	-	-4,106	1.0
3459	Hindon	Primary	0	-	0	-	0	-	-17,353	7.8
3460	Alderbury & West Grinstead	Primary	0	-	0	-	-22,792	3.6	0	-
3462	Amesbury Archer	Primary	-117,654	10.91	-79,707	6.5	-67,750	5.5	-19,450	1.5
3464	Old Sarum	Primary	-23	0	0	-	0	-	0	-
3468	Amesbury Primary	Primary	-39,635	3.74	-50,877	4.4	0	-	0	-
3471	Lyneham Primary	Primary	-87,548	9.6	-26,556	3.3	-61,209	6.0	0	-
4000	Abbeyfield	Secondary	-687,773	18.0	-1,199,023	31.7	-1,916,116	48.5	-2,216,744	55.4
4070	Stonehenge	Secondary	-120,344	4.0	-283,947	9.4	-437,946	14.9	-468,449	14.8
7007	Downland	Special	0	-	0	-	0	-	-10,717	1.55
<b>Total value of deficits</b>			<b>-1,164,340</b>		<b>-1,835,697</b>		<b>-3,006,157</b>		<b>-3,242,001</b>	

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Wiltshire Council

Schools Forum

11 October 2018

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## **DEDICATED SCHOOLS BUDGET – BUDGET MONITORING 2018-19**

### **Purpose of the Report**

1. To present budget monitoring information against the Dedicated Schools Grant (DSG) for the financial year 2018-19 as at 31<sup>st</sup> August 2018.

### **Main Considerations**

2. Appendix 1 to this report outlines the budget monitoring summary as at 31<sup>st</sup> August 2018.
3. An overspend of £0.925 million is currently projected against the overall schools budget. This is the first report of the new financial year although the likelihood of an overspend was raised in the January 2018 meeting (High Needs Block Update Report) when the pressure on the high needs block was estimated at £0.843 million.

### ***Early Years Budgets***

4. Budgets for the free entitlement for 15 hours childcare for 3 & 4 year olds are currently forecast to be underspent by £0.323 million. This estimate is now wholly based on full year of 30 hours entitlement.
5. The 17/18 adjustment on the early year block was £0.035 million.

### ***High Needs Budgets***

6. High Needs budgets are projected to overspend by £1.248m. The biggest areas of overspend are Independent Special School packages, Named Pupil Allowances and top ups in non-Wiltshire provision. The post-16 budget shows an underspend which is partially offsetting the overspend in other areas.
7. One of the major drivers of the increased cost is volume. Activity (volume) is measured in FTE and the forecast FTE (2,626 fte) exceeds the budgeted FTE (2,885 fte) by 259 FTE.
8. In view of the on-going pressure on the high needs block, a High Needs Working Group has been set up comprising school and local authority officers to identify contributing factors and to make proposals to reduce the cost pressure on the high needs block.

### ***DSG Reserve***

9. The reserve brought forward of £0.846 million is reduced by the early years block adjustment of £0.035 million. The forecast overspend would take the reserve into a deficit position of £0.115 million.
10. Current DfE guidance states:  
There are three options to consider if the local authority overspends on the central expenditure component of the schools budget:
  - the local authority may decide to fund all the overspend from its general resources in the year in question

- the local authority may decide to fund part of the overspend from its general resources in the year in question, and carry forward part to the schools budget schools budget in the next year or the year after that
- the local authority may decide not to fund any of the overspend from its general resources in the year in question, and to carry forward all the overspend to the schools budget in the next year or the year after that.

These options will be considered as part of the High Needs Block Working Group.

11. With effect from 2019-20, the department intends to tighten the rules governing deficits in local authorities' overall DSG accounts, under which local authorities have to explain to us their plans for bringing DSG account back into balance. The DfE intend to require a report from any local authority that has a DSG deficit of more than 1% as at 31 March 2019. This report will need to have been discussed with the schools forum. The DfE will consult local authority representatives during the autumn of 2018 about the detailed implementation of these new rules.

### **Proposals**

12. Schools Forum is asked to note the budget monitoring position at the end of August 2018 and the continued pressure on high needs budgets and to support and contribute to the work of the High Needs Working Group.

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*Financial Monitoring*

Service Areas	Current Budget 2018-19 £m	Note only : De-Del DSG	Projected Outturn for Year £m	Variation for Year £m	% Variance
<b>1 Funding Schools</b>					
DSG Funded Expenditure - Delegated to Schools	113.430	-1.831	113.430	0.000	0.0%
Contingency & Growth Fund	1.000		1.000	0.000	0.0%
<b>Total</b>	<b>114.430</b>	<b>- 1.831</b>	<b>114.430</b>	<b>-</b>	<b>0.0%</b>
<b>2 0-25 SEND Service</b>					
<b>Pre-16</b>					
Independent Special Schools incl 6th Form	7.616		8.196	0.580	7.6%
Named Pupil Allowances	3.357		4.778	1.421	42.3%
Top Up Budgets - Wiltshire Maintained Schools & Academies	10.584		10.070	-0.514	-4.9%
Top Up Budgets - Non-Wiltshire Maintained Schools & Academies	1.222		2.053	0.831	68.0%
<b>Post-16</b>					
Top Up Budgets - Post- 16 Placements	4.331		3.261	-1.070	-24.7%
<b>Support Services</b>					
Specialist Provision and EY Inclusion	0.577		0.577	0.000	0.0%
Other SEND Services	3.672		3.672	0.000	0.0%
Ethnic Minority Achievement Service & Traveller's Education	0.506	0.506	0.506	0.000	0.0%
Secondary Devolved Funding - Alternative Provision	2.791		2.791	0.000	0.0%
EOTAS	0.653		0.653	0.000	0.0%
Behaviour Support	1.083	0.600	1.083	0.000	0.0%
<b>Total</b>	<b>36.392</b>	<b>1.106</b>	<b>37.640</b>	<b>1.248</b>	<b>0.883</b>
<b>3 Education &amp; Skills and Children's Commissioning</b>					
Schools Maternity Costs	0.514	0.514	0.514	0.000	0.0%
Trades Union Facilities Costs	0.060	0.060	0.060	0.000	0.0%
SIMS & HCSS Licences	0.051	0.051	0.051	0.000	0.0%
Speech & Language	0.509		0.509	0.000	0.0%
Child Protection in Schools	0.041		0.041	0.000	0.0%
Other Costs incl. Copyright Licences	0.462	0.100	0.462	0.000	0.0%
Admissions Service	0.245		0.245	0.000	0.0%
<b>Total</b>	<b>1.882</b>	<b>0.725</b>	<b>1.882</b>	<b>0.000</b>	<b>0.0%</b>
<b>4 Early Years Services</b>					
Early Years Single Funding Formula - 3 & 4 yo	23.527		23.481	-0.046	-0.2%
Early Years Single Funding Formula - 2 yo	2.444		2.168	-0.277	-11.3%
Other Early Years Support	0.301		0.301	0.000	0.0%
Early Year Childcare & Early Dev Team	0.231		0.231	0.000	0.0%
Early Years Pupil Premium Grant & DAF funding	0.253		0.253	0.000	0.0%
<b>Total</b>	<b>26.756</b>	<b>0.000</b>	<b>26.433</b>	<b>-0.323</b>	<b>-1.2%</b>
<b>7 Family &amp; Children's Services</b>					
Looked After Children Education Service	0.146		0.146	0.000	0.0%
Education Welfare	0.190		0.190	0.000	0.0%
<b>Total</b>	<b>0.336</b>	<b>-</b>	<b>0.336</b>	<b>-</b>	<b>0.0%</b>
<b>8 DSG Within Corporate Services</b>					
Gross Expenditure	1.530		1.530	0.000	0.0%
<b>Total</b>	<b>1.530</b>		<b>1.530</b>	<b>-</b>	<b>0.0%</b>
	<b>181.326</b>	<b>-</b>	<b>182.251</b>	<b>0.925</b>	<b>0.51%</b>

Note POSITIVE variances = OVERSPEND

0.000

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**Wiltshire Council**

**Schools Forum**

**11 October 2018**

## **Schools Revenue Funding 2019-20 - An Overview**

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### **Purpose of Report**

1. To provide members of Schools Forum with an overview of the key headlines from the 'Schools Revenue Funding 2019 to 2020 – Operational Guide (July 2018)'.

### **Background**

2. During 2016, the Department for Education (DfE) launched two consultations regarding a national funding formula (NFF). The first consultation focussed on the principles of a funding formula and the second consultation considered the details of a proposed formula.
3. The DfE announced their final decisions on the funding system and the national funding formulae that was introduced from April 2018. The new 'soft' formula was introduced to enable local authorities and their Schools Forum to determine a local formula, moving towards the national funding formula as they saw fit.

### **Structure of Funding**

4. The DSG will continue to be allocated in the 4 blocks as below;
  - Early Years block
  - Schools block
  - High Needs block
  - Central schools services block (new from 2018-19)
5. The central schools services block funds LA's for the statutory duties which they are required to perform for both maintained schools and academies. This includes funding for
  - Ongoing responsibilities such as admissions
  - Duties previously funded through the retained element of the ESG
  - Residual funding for historical commitments

### **How is Wiltshire funded?**

6. All LA's are now funded on the block allocations as defined by the NFF. Wiltshire receives funding for its schools, based on the NFF.
7. For the two years 2018-19 and 2019-20, the original DfE announcements proposed a 'soft' formula which enabled our Wiltshire Schools Forum to set a local formula to determine the distribution of funding for schools.

8. Following an announcement by The Minister for Schools, Nick Gibb, on the 24<sup>th</sup> July 2018, it has been confirmed that the 'soft' formula will continue for another year and that local authorities along with their Schools Forum will continue to determine local formulae in 2020-21.
9. Therefore, whilst Wiltshire is and will continue to be funded based on the NFF, it will still be the role of the local Schools Forum to determine the appropriate distribution for the current and next two financial years. Details of the 'hard' formula for 2021-22 and beyond have not been published.

### Indicative Funding Blocks

10. The DfE have issued indicative information regarding the funding blocks for 2019-20.

	<b>Baseline 2017-18</b>	<b>2018-19</b>	<b>2019-20</b>	<b>Full NFF</b>
Pupils	62,119	62,681	62,681*	62,681*
Formula	£253,250,625	£262,230,454	£266,631,758	£268,187,499
Growth	£1,545,839	£1,545,839	£1,545,839	£1,545,839
Premises	£4,054,574	£4,081,383	£4,258,778	£4,258,778
Total	£258,851,038	£267,857,676	£272,436,375	£273,992,116
£ per pupil	£4,167	£4,273	£4,346	£4,371
% Inc. over baseline		2.5%	4.3%	4.9%

\* - Not known – growth funding assumed at 2018-19 level

### Moving funding between blocks

11. The NFF has confirmed that schools funding will again be ring-fenced in 2019-20 and therefore LA's must pass on to schools all of the funding directly attributable to schools. However, there will be a level of local flexibility which will enable up to 0.5% of the total schools block to be transferred to other areas. Any movements would again require the express approval of the local Schools Forum.
12. Transfers of more than 0.5% may be allowed where the Secretary of State has previously allowed a transfer between blocks and where it has been agreed by Schools Forum.
13. A 0.5% transfer of the schools' block would equate to £1.362m, based upon a provisional schools' block allocation of £272.436M. Any transfer would require consultation with all LA maintained schools and academies prior to being presented to Schools Forum.

### Minimum funding levels from a national formula

14. The DfE committed to supporting schools with an additional £1.3billion over the 2 years, 2018-19 and 2019-20. There are a number of key messages which have been enforced for the 2019-20 year, listed below;
  - a) 1.0% cash increase for every pupil in 2019-20 (compared to 2017-18)

- b) Increase in Minimum funding of £4,600 per secondary pupil in 18-19 to £4,800 in 19-20
- c) Increase in Minimum funding of £3,300 per primary pupil in 18-19 to £3,500 in 19-20

### **Changes to the final national funding formula**

15. The funding for some of the factors has either been changed or updated to reflect changes in policy.
- a) Growth will be allocated on a formulaic basis, but distribution of Growth funding will be determined locally.
  - b) The value for low prior attainment in primary schools has been reduced to £1,022 (from £1,050) as all results have been assessed under the new framework.
  - c) The Minimum Funding Guarantee (MFG) can be set anywhere between -1.5% to +0.5% without a Disapplication request.
  - d) The formula must allocate at least 80% of funding through the pupil-led factors.

### **Pupil-led funding**

16. The majority of school funding will be delivered through the pupil-led factors and for the NFF this will account for 90.7% of school funding. In addition to the general AWPU and other pupil-led factors, a formula must ensure that the minimum funding values of £4,800 and £3,500 are awarded in 2019-20 for secondary and primary schools respectively.

### **Additional needs funding**

17. **Deprivation** – through the NFF, deprivation will be funded using two indicators, both the Free School Meals and Ever6 measures and the IDACI postcode index
18. **Low prior attainment** – the NFF continues to recognise the need to fund low prior attainment which is consistent with the Wiltshire funding formula. The measure in a primary school will be the Early Years Foundation Stage Profile and in secondary school will be where a pupil has not achieved the expected level at Key Stage 2.
19. **English as an additional language** – the factor for awarding funding under the NFF will apply for all pupils who have entered the state education system in any of the last 3 years.
20. **Mobility** – there is still no nationally recognised measure of mobility and therefore funding will be allocated to LA's based on historical expenditure until an appropriate

formulaic method can be adopted. Wiltshire has not used or introduced this factor for funding schools.

### Area cost adjustment

21. The area cost adjustment (ACA) is designed to reflect the labour market trends and the salary variations in the teaching workforce. The Wiltshire ACA has been calculated as 1.01078.

### School-led funding

22. **Lump sum** – the NFF has confirmed that there will be no differential between primary and secondary phases and that all schools will receive a flat rate of £110,000.
23. **Sparsity** – this factor takes account of the size of a school coupled with the distance which the pupils in the school would have to travel to their next nearest school. Schools Forum has not historically supported the Sparsity factor and it was only introduced for 2018-19 as it formed part of the NFF. The table below sets out the funding paid through Sparsity.

	<u>Primary</u>	<u>Secondary</u>	<u>Total</u>
No. of Schools	25	2	27
Total Paid	£231,782	£60,558	<b>£292,340</b>
Range	£461 - £17,823	£30,008 - £30,550	
Average	£9,271	£30,279	

24. **Premises factors** (rates, split site, PFI & exceptional circumstances). Due to the individual nature of these factors, the DfE will continue to fund the LA based on the current level of expenditure for these factors.

### Growth

25. From 2019-20, Growth funding is to be allocated based on a formula, using lagged growth data. This has had no impact upon the method used for allocating funding to schools and the Wiltshire criteria is fully compliant with DfE criteria.
26. Growth allocations for 2019-20 will be based upon data from the October 2018 census. The growth will be communicated to the LA in December as part of the overall DSG funding. The funding will be lagged but based upon actual growth.
27. The growth is broken down into ‘Middle Layer Super Output Areas’ – an ONS term for measuring population data. The increase in pupil numbers between the 2 most recent censuses will be taken. (Any negative growth will be excluded).
28. Growth funding will be calculated as;
- a) £1,370 for each primary ‘growth’ pupil



- b) £2,050 for each secondary 'growth' pupil and
- c) £65,000 for each brand-new school that opened in the previous year.

29. The data will be taken as the growth between the October 2017 census and the October 2018 census information. Indications from the DfE are that Wiltshire would have seen Growth funded at £1.545M.

30. The DfE do not expect LA's to fund growth at the above rates but is used as a proxy for overall growth costs across schools. The sums allocated will not necessarily match the growth fund required for the year.

### Funding Factors

31. The table below sets out the funding rates under the NFF adjusted for the change to Primary Low Prior Attainment.

<b>Funding Factors</b>	<b>NFF Values</b>
AWPU – Primary	2,747
AWPU – KS3	3,863
AWPU – KS4	4,386
FSM – Primary	440
FSM – Secondary	440
FSM Ever6 – Primary	540*
FSM Ever6 – Secondary	785*
IDACI band F – Primary	200
IDACI band F - Secondary	290
IDACI band E – Primary	240
IDACI band E - Secondary	390
IDACI band D – Primary	360
IDACI band D - Secondary	515
IDACI band C – Primary	390
IDACI band C - Secondary	560
IDACI band B – Primary	420
IDACI band B - Secondary	600
IDACI band A – Primary	575
IDACI band A - Secondary	810
Low Prior Attainment - Primary	1,022
Low Prior Attainment – Secondary	1,550
EAL – Primary	515
EAL – Secondary	1,385
Lump Sum – Primary	110,000
Lump Sum – Secondary	110,000
Sparsity – Primary	25,000
Sparsity - Secondary	65,000

\* Due to affordability of the new National Funding Formula, FSM Ever6 rates were paid at: Primary - £406.10, Secondary - £590.35

## **Next Steps**

32. Schools Forum will be asked to propose a funding methodology for 2019-20 considering the published updated NFF.
33. Schools Forum will need to give consideration to the option of moving funding between the blocks.
34. Schools Forum are asked to note the contents of the report.

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Wiltshire Council

Schools Forum

11 October 2018

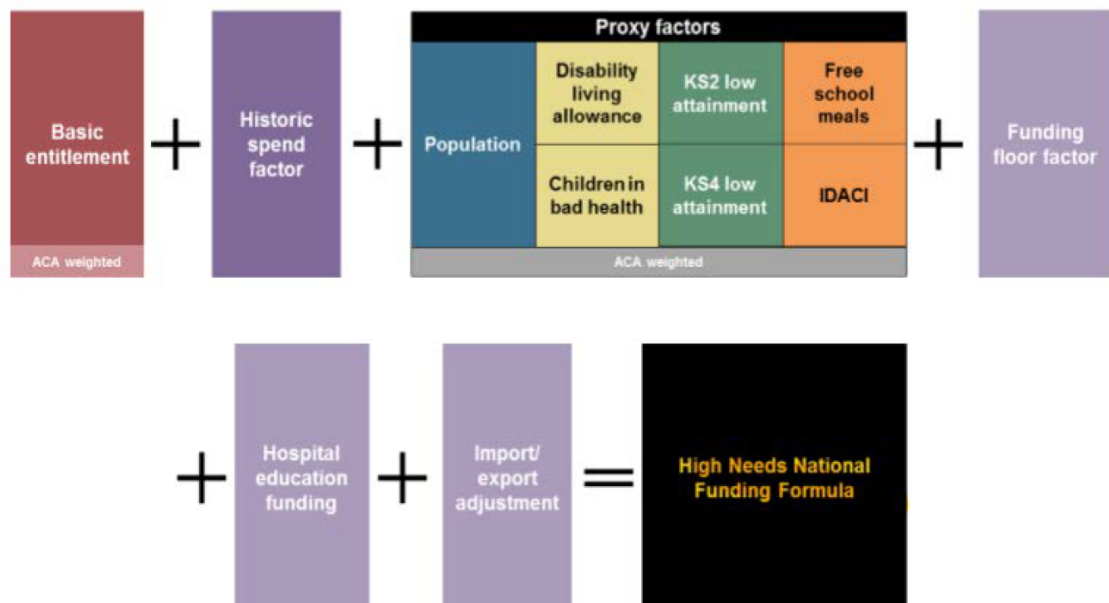
## High Needs National funding 2019-20 - An Overview

### Purpose of Report

1. To provide members of Schools Forum with an overview of the key headlines from the recently published The National Funding Formulae for Schools and High Needs 2019 to 2020 (July 2018).

### Background

2. The DfE announced their final decisions on the funding system and the national funding formulae that was introduced from April 2018. The new High Needs Funding Formula introduced in 2018-19 will remain unchanged in 2019-20. The funding factors which determine the allocation is set out below.



### Additional Funding

3. The funding floor will increase so that all authorities will see an increase of 1% compared to the 2017-18 baseline.

- Any gains under the formula will be capped at 6.09% compared to the 2017-18 baseline.

#### **Funding for 2019-20**

- The DfE have issued indicative figures for the High Needs Block for 2019-20. The table below sets out the position of the block, following the 2017-18 baseline having been established.

<b>Funding</b>	<b>Amount £</b>	<b>Growth over baseline</b>
2017-18 baseline High Needs Block	44,782,586	n/a
2018-19 Allocation	45,079,033	296,447
2019-20 Allocation (indicative)	45,809,289	730,256

- The block for 2019-20 is still provisional and the final allocation will be announced in December 2018.

#### **Next Steps**

- Schools Forum are asked to note the contents of the report.

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